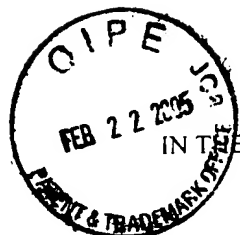


## UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 01-627)



IN THE APPLICATION OF:

Iain Hogget al.

Serial No. 09/873,898

Filed: June 05, 2001

Title Method For Monitoring A Network  
As The Network Changes In Size

Examiner: To be Assigned

Group Art Unit: 2151

Confirmation No.: 5142

BOX DD

Commissioner for Patents

Washington, D.C. 20231

Sir:

## TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Information Disclosure Statement;
- b. Form PTO-1449;
- c. Copy of IDS Citations 09/873,898 (4 references);
- d. Return Receipt Postcard.

2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 3<sup>rd</sup> day of January 2002.

By :

Timothy R. Baumann

Reg. No. 40,502



UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-627)

PATENT

IN THE APPLICATION OF:

Iain Hogget al.

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INFORMATION DISCLOSURE STATEMENT

BOX DD  
Commissioner for Patents  
Washington, D.C. 20231-9999

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective

dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

**CITED REFERENCES**

**U.S. Patent Documents**

Document Number	Date	Name	Class	Sub Class	Filing Date of App.
5,875,242	02/23/1999	Glaser et al.	379	207	07/26/1996

**Foreign Patent Documents**

Document Number	Date	Country	Class	Sub Class	Translation Yes/No
GB2362059	11/07/2001	UK	H04L	12/56	
WO01/48505	07/05/2001	PCT	G01S	5/00	
WO01/35578	05/17/2001	PCT	H04L	12/28	


Respectfully submitted,

**McDonnell Boehnen Hulbert & Berghoff**

Date:

01/03/02

By:

  
**Timothy R. Baumann**  
Registration No. 40,502

Form PTO-1449 (modified)

Atty. Docket No.  
01-627Serial No.  
09/873,898

List of Patents and Publications for Applicant's

Applicant

INFORMATION DISCLOSURE STATEMENT

Iain Hogg et al.

(Use several sheets if necessary)

Filing Date:  
June 5, 2001Group:  
2151U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,875,242	02/23/1999	Glaser et al.	379	207	07/26/1996
	A2						
	A3						
	A4						
	A5						

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	B3	WO01/35578	05/17/2001	PCT	H04L	12/28	
	B4						
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Hon. Commissioner of  
Patents and Trademarks

S/N: 09/873,898

Atty TRB/gS

Re: Applicant - Iain Hogg et al.

Case No. 01-627

"Method For Monitoring A Network As The Network Changes In Size"

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- (1) Transmittal Letter in duplicate;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449; and
- (4) 4 Cited References.



Fee Enclosed

\$ - 0 -

Date Mailed: January 3, 2002

Respectfully,  
McDonnell Boehnen Hulbert & Berghoff  
Attorney for Applicant

✓